

# RSA® ARCHER™ COMPLIANCE MANAGEMENT

Efficiently demonstrate compliance with laws, regulations and policies

## AT A GLANCE

- Document your control framework, and perform a risk-based scoping process.
- Manage control testing and track automated technical control assessments.
- Identify deficiencies, map them to policies, regulations and risks, and prioritize your response.
- Route findings to appropriate personnel who can resolve them through remediation tasks or exception requests.

## OVERVIEW

RSA® Archer™ Compliance Management provides a centralized, access-controlled environment for automating enterprise compliance processes, assessing deficiencies, and managing remediation efforts. This flexible, web-based solution allows you to document process and technical controls, link them to authoritative sources, perform risk-based scoping, execute design and operating tests, and respond to identified gaps. You can also report assessment results and remediation activities to senior management and regulators through real-time status and results dashboards.

### *Key Benefits*

- Integration of disparate compliance processes and sources of information, providing users with a single portal for completing work
- Efficiencies gained through asking a single question and using the results to demonstrate compliance with multiple regulations
- Automation of workflow, risk-based scoping, data collection, assessment scoring, findings generation and notifications, significantly reducing the administrative burden
- Ability to roll up compliance results to policies, controls, regulations, business units and divisions
- Rapid return on your investment from a solution that you can implement out of the box or tailor to your needs through code-free configuration
- Freedom to choose an on-premise or software as a service (SaaS) deployment

## COMPLIANCE MANAGEMENT DETAILS

### *Document Your Control Framework*

Import your control framework, control procedures and test plans, and reuse this information in future assessment periods. Also cycle process and technical controls through the approval process using integrated workflow. Additionally, take advantage of pre-loaded technology baselines to manage regulatory compliance at the technical configuration level.

### *Employ a Risk-Based Scoping Process*

Perform risk-based scoping at the business unit, account or regulation level using a top-down and bottom-up approach. Once the evaluation is complete, the decisions are

[Data Sheet](#)



“Where before you might manage work in two or three places, with [RSA] Archer, you have one place to manage all of your work. People are completing audits, not focusing on administrative tasks.”

SCOTT PEYTON, SOX CONSULTANT  
THE FIRST AMERICAN CORPORATION

#### *RSA Archer eGRC Platform*

The RSA Archer eGRC Platform supports business-level management of governance, risk and compliance. As the foundation for all RSA Archer eGRC Solutions, including Audit Management, the Platform allows you to adapt the solutions to your requirements, build supporting applications and integrate with other systems without touching a single line of code.

automatically rolled down to the process and control level, allowing you to determine an efficient and effective testing program.

#### *Manage Compliance Assessments*

Deploy continuous, automated assessments and certifications, and inform testers of their work queues via rules-driven workflow and “My Tasks” lists. Use pre-loaded questionnaires, including process control self-assessments, design and operating tests, and technical control manual assessments. Also import your own questions to generate new questionnaires in a matter of minutes. Additionally, you can integrate data from multiple scanning tools to gain a consolidated view into automated control testing.

#### *Identify Deficiencies and Manage Remediation Plans*

Auto-generate deficiencies based on failures noted within questionnaires and test results, and understand how findings relate to controls, operating entities, policies, regulations and risks. Also relate multiple findings in the context of a remediation plan to identify larger issues and support informed decision making.

#### *Resolve Compliance Deficiencies*

Employ automated workflow and task management capabilities to resolve compliance deficiencies. Route findings and tasks to appropriate personnel, who can respond by completing remediation tasks or logging exception requests that identify effective compensating controls. RSA Archer provides pre-built, fully configurable workflow processes for reviewing and approving the resolution of deficiencies.

#### *Report on Compliance Activities*

Use real-time reporting capabilities to form a consolidated picture of compliance efforts and remediation processes. RSA Archer Compliance Management provides an ad hoc reporting interface that allows you to deliver status and alert-type reports to users via dashboards, e-mail or exports in a number of formats.

#### *Learn More*

Visit [www.rsa.com/egrc](http://www.rsa.com/egrc) for more information on RSA Archer eGRC Solutions. You'll find on-demand video demos, case studies, white papers, data sheets and more.

### **ABOUT RSA**

RSA is the premier provider of security, risk and compliance solutions, helping the world's leading organizations succeed by solving their most complex and sensitive security challenges. These challenges include managing organizational risk, safeguarding mobile access and collaboration, proving compliance, and securing virtual and cloud environments.

Combining business-critical controls in identity assurance, data loss prevention, encryption and tokenization, fraud protection and SIEM with industry leading eGRC capabilities and consulting services, RSA brings trust and visibility to millions of user identities, the transactions that they perform and the data that is generated.

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