# RSA® ARCHER™ AUDIT MANAGEMENT

## Enable risk-based, business-aligned internal audit

## OVERVIEW

RSA® Archer™ Audit Management puts you in control of the complete audit lifecycle, enabling improved governance of ongoing audit-related activities, data and processes without the limitations of manual or stand-alone solutions. Aligned with the Institute of Internal Auditors (IIA) standards, this web-based solution provides an aggregate view of your audit program, including planning, scheduling, risk-based prioritization, staffing, management of audit procedures, and tracking of remediation efforts. Through RSA Archer Audit Management, you can transform paper-based documentation into a dynamic audit program, enhance the focus and efficiency of audits, perform risk-based scoping of the audit universe, institute real-time monitoring of internal audit department effectiveness, and coordinate with other assurance functions across your enterprise.

### Key Benefits

– Centralization of audit documentation and processes, and correlation of work papers with evidence, observations and remediation efforts in a single, access-controlled solution

– Ability to roll up audit status and results to critical elements of the business hierarchy and operational infrastructure, as well as third-party service providers

– Ability to leverage data captured within RSA Archer for other risk management and compliance activities, leading to improved accuracy of audit results

– Rapid return on your investment from a solution that you can implement out of the box or tailor to your needs through code-free configuration

– Freedom to choose an on-premise or software as a service (SaaS) deployment

## AUDIT MANAGEMENT DETAILS

### Risk Assess Your Audit Universe

Document your targeted audit entities, and determine audit scope by completing dynamic risk assessments of your audit universe. These fully configurable assessments reveal the inherent and control risk associated with each audit entity; the solution uses the assessment results, in combination with past audit history, to automate the scheduling of audit engagements for each entity.

### Organize Audit Projects

Build a centralized, consistent library of procedures that can be related to multiple audit projects automatically by type, or assigned to projects on an ad hoc basis. The solution

### RSA Archer eGRC Platform

The RSA Archer eGRC Platform supports business-level management of governance, risk and compliance. As the foundation for all RSA Archer eGRC Solutions, including Audit Management, the Platform allows you to adapt the solutions to your requirements, build supporting applications and integrate with other systems without touching a single line of code.

generates work papers with fully configurable workflow to allow audit staff to document the results of procedures associated with an audit project. Additionally, the solution can be configured to automatically alert audit staff of their pending tasks.

### Manage Audit Staffing

Easily review utilization and capacity for audit staff, and assign personnel to audit projects and tasks. Time and expense functionality allows you to monitor budgeted and actual time for audit work, along with associated expenses. You can also staff audit projects appropriately based on skill sets, experience, accreditations, etc.

### Execute Audit Plans

Capture the results of audit tests in fully configurable work papers, and attach supporting documentation and review notes. Also measure the internal audit department's ability to achieve established milestones within the audit process, such as kickoff meetings, field work start dates and completion dates.

### Deliver Business-Relevant Reporting

Manage deficiencies that are auto-generated based on failed audit test steps, enable audit customers to respond to those deficiencies, and track remediation activities. Utilize real-time reports and dashboards, and export audit data to custom Word templates so you can quickly deliver professional reports to business owners and management.

### Coordinate Information and Objectives

Relate internal audits to data and processes you manage with other RSA Archer eGRC Solutions for a better understanding of the strategic role internal audit plays in helping your organization manage risk and achieve business objectives. This integrated approach helps you avoid duplication of effort, ensure the consistency and overall quality of audit results, and facilitate a common risk assessment process across various assurance functions.

### Learn More

Visit www.rsa.com/grc for more information on RSA Archer eGRC Solutions. You'll find on-demand video demos, case studies, white papers, data sheets and more.

### ABOUT RSA

RSA is the premier provider of security, risk and compliance solutions, helping the world's leading organizations succeed by solving their most complex and sensitive security challenges. These challenges include managing organizational risk, safeguarding mobile access and collaboration, proving compliance, and securing virtual and cloud environments.

Combining business-critical controls in identity assurance, data loss prevention, encryption and tokenization, fraud protection and SIEM with industry leading eGRC capabilities and consulting services, RSA brings trust and visibility to millions of user identities, the transactions that they perform and the data that is generated.

**RSA**

**EMC[2]**